

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Alianna MAREN et al.

Title:

KNOWLEDGE DISCOVERY APPARATUS

AND METHOD

Appl. No.:

10/604,705

Filing Date:

08/12/2003

Examiner:

Khanh B. Pham

Art Unit:

2166

Confirmation

1704

Number:

# INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR §1.56**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The USPTO has waived the requirement under 37 CFR 1.98(a)(2)(iii) to submit copies of cited pending applications which are stored in the USPTO's Image File Wrapper (IFW) system. Applications filed on or after June 30, 2003, and international applications that have entered the national stage on or after June 30, 2003, have been or are being scanned into the IFW system. Accordingly, copies of these types of documents are not being supplied in connection with this application. Reference is being made to OG Notice dated October 19, 2004, Waiver of the Copy Requirement in 37 CFR 1.98 for Cited Pending U.S. Patent Applications.

-1-

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The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

## TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of either a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application.

### RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

#### FEE

A credit card payment form in the amount of \$180.00 is enclosed in accordance with 37 CFR §1.17(p) to cover the fee associated with an information disclosure statement under 37 CFR §1.97(c) in the amount of \$180.00.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by the credit card payment form being unsigned, providing incorrect information resulting in a rejected credit card transaction, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date May 15, 2006

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Howard N. Shipley Attorney for Applicant Registration No. 39,370

MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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number. Substitute for form 1449B/PTO TRADEN

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Date Submitted: May 15, 2006

(use as many sheets as necessary)

of Sheet 1

Complete if Known					
Application Number	10/604,705				
Filing Date	08/12/2003				
First Named Inventor	Alianna MAREN				
Group Art Unit	2166				
Examiner Name	Khanh B. Pham				
Attorney Docket Number	041762-0102				

				U.S. PATENT DOCUMENTS	3		
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document  Kind  Number Code <sup>2</sup> (if  known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	
	B1	2003/0014428	A1	Mascarenhas	01/2003		
	B2	2003/0128998	A1	Nishi	07/2003		
	В3	2003/0163302	A1	Yin	08/2003		
	B4	2003/0220860	A1	Heytens et al.	11/2003		
	B5	2005/0071328	A1	Lawrence	03/2005		
	B6	2005/0222989	A1	Haveliwala et al.	10/2005		
	B7	2005/0240580	A1	Zamir et al.	10/2005		
	B8	4,874,963		Alspector	10/1989		
	B9	5,589,622		Gurr et al.	12/1996		
	B10	5,867,799		Lang et al.	02/1999		
	B11	6,112,194		Bigus	08/2000		
	B12	6,300,957	B1	Rao et al.	10/2001		
	B13	6,377,259	B2	Tenev et al.	04/2002		
	B14	6,463,433	B1	Baclawski	10/2002		
	B15	6,529,603	B1	Palmer	03/2003		
	B16	6,578,022	B1	Foulger et al.	06/2003		
	B17	6,611,841	B1	Han	08/2003	·	
	B18	6,628,312	B1	Rao et al.	09/2003		
	B19	6,654,761	B2	Tenev et al.	11/2003		
	B20	6,665,681	B1	Vogel	12/2003	•	
	B21	6,668,256	B1	Lynch	12/2003		

Examiner Initials*	Cite No.1	U.S. Patent Application Document		Name of Patentee or Applicant of	Filing Date of	Pages, Columns, Lines, Where Relevant	
		Serial Number	Kind Code <sup>2</sup> (if known)	Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	
	B22	10/877,776			06/2004		

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Examiner Signature	Date Considered	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. 2See attached Kinds of U.S. Patent Documents. 3Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 

Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 

Applicant is to place a check mark here if English language Translation is attached.

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	Substitute fo	or form 1449B	/PTO	Complete if Known				
	INFORMATI	ON DISCLO	SURE	Application Number	10/604,705			
	STATEMEN	T BY APPLI	CANT	Filing Date	08/12/2003			
	Data Submit	tod: Mov. 15	2006	First Named Inventor	Alianna MAREN			
	Date Submit	ileu. May 15	, 2000	Group Art Unit	2166			
	(use as many s	heets as ne	cessary)	Examiner Name	Khanh B. Pham			
Sheet	2	of	2	Attorney Docket Number	041762-0102			

		NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.							
	B23	Van Zuylen, Catherine, Inxight White Paper: From Documents to Information: A New Model for Information Retrieval, Inxight Software, Inc., October 2004, (18 pages).							
	B24	Inxight White Paper: Inxight SmartDiscovery: Discover the True Value of Information, Inxight Software, Inc., June 2003, (13 pages).	:						
	B25	Inxight White Paper: Inxight SmartDiscovery Product Data Sheet: The Complete Solution for Enterprise Information Discovery, Inxight Software, Inc., (4 pages).							
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.